#### GENERAL ASSEMBLY OF NORTH CAROLINA

#### **SESSION 1997**

S 2

# SENATE BILL 727 Finance Committee Substitute Adopted 4/14/97

| Short Title: Fees to Implement State Budget. | (Public) |  |
|--|----------|--|
| Sponsors:                                    |          |  |
| Referred to:                                 |          |  |

# April 7, 1997

1 A BILL TO BE ENTITLED

AN ACT TO IMPLEMENT THE RECOMMENDATIONS OF THE COMMITTEE ON APPROPRIATIONS BY ESTABLISHING THE PERCENTAGE RATES FOR THE INSURANCE REGULATORY CHARGE AND THE PUBLIC UTILITY REGULATORY FEE, BY CLARIFYING THE BASIS OF THE PREMIUM TAX LIABILITY ON WHICH THE INSURANCE REGULATORY CHARGE IS LEVIED, BY INCREASING COURT FEES IN CRIMINAL CASES, AND BY INCREASING THE FEES FOR FILING CERTAIN DOCUMENTS.

The General Assembly of North Carolina enacts:

Section 1. The percentage rate to be used in calculating the insurance regulatory charge under G.S. 58-6-25 is eight and seventy-five hundredths percent (8.75%) for the 1997 calendar year.

Section 2. G.S. 58-6-25(a) reads as rewritten:

"(a) Charge Levied. – There is levied on each insurance company an annual charge for the purposes stated in subsection (d) of this section. As used in this section, the term 'insurance company' means a company that pays the gross premiums tax levied in G.S. 105-228.5 and G.S. 105-228.8, except that the term does not include a hospital, medical, or dental service corporation regulated under Articles 65 and 66 of this Chapter. The term "insurance company" does not include a company regulated under Article 67 of this Chapter. a

service corporation subject to Article 65 of this Chapter. A health maintenance organization subject to Article 67 of this Chapter is not subject to those taxes and is therefore not subject to the charge levied in this section. The charge levied in this section is in addition to all other fees and taxes. The charge shall be at a percentage rate of the company's premium tax liability for the taxable year. In determining an insurance company's premium tax liability for a taxable year, additional taxes imposed by G.S. 105-228.8 and G.S. 105-228.8, the additional local fire and lightning tax imposed by G.S. 105-228.5(d)(4) G.S. 105-228.5(d)(4), and any tax credits for guaranty or solvency fund assessments under G.S. 105-228.5A or G.S. 97-133(a) shall be disregarded."

Section 3. G.S. 97-133(a)(2) reads as rewritten:

- "(2) Assess each member of the Association as follows:
  - Each individual member self-insurer shall be annually assessed an amount equal to one-quarter of one percent (0.25%) of the annual standard premium that would have been paid by that member self-insurer for workers' compensation insurance during the prior calendar year; and payment to the Association shall be made no later than September 15 following the close of that calendar year. Where any such assessment is paid based in whole or in part upon estimates of annual standard premium for the prior calendar year, there shall be made in the next year's assessment an adjustment of the assessment of such prior year based on actual audited annual standard premium. Each group member self-insurer shall be annually assessed an amount equal to one-quarter of one percent (0.25%) of the annual premium collected by the group member self-insurer during the prior calendar year; and payment to the Association shall be made no later than September 15 following the close of that calendar year. Regardless of the size of the Fund, during its first 12 months of membership, no member self-insurer may discount or reduce this one-quarter of one percent (0.25%) assessment. Assessments paid by members pursuant to this subdivision shall be credited toward the tax paid by self-insurers under G.S. 105-228.5 and G.S. 97-100. Article 8B of Chapter 105 of the General Statutes.
  - b. Each member self-insurer shall be notified of the assessment no later than 30 days before it is due.
  - c. If a self-insurer is a member of the Association for less than a full calendar year, the annual standard premium shall be adjusted by that portion of the year the self-insurer is not a member of the Association.
  - d. If application of the contribution rates referenced in subsubdivisions a. and b. of this subdivision would produce an amount in excess of the five million dollar (\$5,000,000) limits of the fund, an equitable proration may be made; provided that

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every self-insurer that becomes a member of the Association shall pay an initial assessment, in an amount established by the Board, regardless of the size of the fund at the time the member joins the Association."

5 6 Section 4. G.S. 97-133(a)(4) reads as rewritten:

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''(4)Be obligated to the extent of covered claims occurring prior to the determination of the member self-insurer's insolvency, or occurring after such determination but prior to the obtaining by the self-insurer of workers' compensation insurance as otherwise required under this Chapter. The Association shall pay claims against a self-insurer that are not or have not been paid as a result of a determination of insolvency or the institution of bankruptcy or receivership proceedings that occurred prior to the effective date of this Article; provided that any assessments made to pay such claims may be credited towards the tax paid by the selfinsurers under G.S. 97-100; Article."

Section 5. The percentage rate to be used in calculating the public utility regulatory fee under G.S. 62-302(b)(2) is nine hundredths percent (0.09%) of each public utility's North Carolina jurisdictional revenues earned during each quarter that begins on or after July 1, 1997.

Section 6. G.S. 7A-304 reads as rewritten:

### "§ 7A-304. Costs in criminal actions.

- In every criminal case in the superior or district court, wherein the defendant is convicted, or enters a plea of guilty or nolo contendere, or when costs are assessed against the prosecuting witness, the following costs shall be assessed and collected, except that when the judgment imposes an active prison sentence, costs shall be assessed and collected only when the judgment specifically so provides, and that no costs may be assessed when a case is dismissed.
  - For each arrest or personal service of criminal process, including (1) citations and subpoenas, the sum of five dollars (\$5.00), to be remitted to the county wherein the arrest was made or process was served, except that in those cases in which the arrest was made or process served by a law-enforcement officer employed by a municipality, the fee shall be paid to the municipality employing the officer.
  - (2) For the use of the courtroom and related judicial facilities, the sum of six dollars (\$6.00) in the district court, including cases before a magistrate, and the sum of twenty-four dollars (\$24.00) in superior court, to be remitted to the county in which the judgment is rendered. In all cases where the judgment is rendered in facilities provided by a municipality, the facilities fee shall be paid to the municipality. Funds derived from the facilities fees shall be used exclusively by the county or municipality for providing, maintaining, and constructing adequate courtroom and related judicial facilities, including: adequate space and furniture for judges, district attorneys, public defenders, magistrates,

juries, and other court related personnel; office space, furniture and vaults for the clerk; jail and juvenile detention facilities; free parking for jurors; and a law library (including books) if one has heretofore been established or if the governing body hereafter decides to establish one. In the event the funds derived from the facilities fees exceed what is needed for these purposes, the county or municipality may, with the approval of the Administrative Officer of the Courts as to the amount, use any or all of the excess to retire outstanding indebtedness incurred in the construction of the facilities, or to reimburse the county or municipality for funds expended in constructing or renovating the facilities (without incurring any indebtedness) within a period of two years before or after the date a district court is established in such county, or to supplement the operations of the General Court of Justice in the county.

- (3) For the retirement and insurance benefits of both State and local government law-enforcement officers, the sum of seven dollars and twenty-five cents (\$7.25), to be remitted to the State Treasurer. Fifty cents (50¢) of this sum shall be administered as is provided in Article 12C of Chapter 143 of the General Statutes. Five dollars and seventy-five cents (\$5.75) of this sum shall be administered as is provided in Article 12E of Chapter 143 of the General Statutes, with one dollar and twenty-five cents (\$1.25) being administered in accordance with the provisions of G.S. 143-166.50(e). One dollar (\$1.00) of this sum shall be administered as is provided in Article 12F of Chapter 143 of the General Statutes.
- (3a) For the supplemental pension benefits of sheriffs, the sum of seventy-five cents  $(75\phi)$  to be remitted to the Department of Justice and administered under the provisions of Article 12G of Chapter 143 of the General Statutes.
- (4) For support of the General Court of Justice, the sum of forty-six dollars (\$46.00) sixty-one dollars (\$61.00) in the district court, including cases before a magistrate, and the sum of fifty-three dollars (\$53.00) sixty-eight dollars (\$68.00) in the superior court, to be remitted to the State Treasurer.
- (5) For using pretrial release services, the district or superior court judge shall, upon conviction, impose a fee of fifteen dollars (\$15.00) to be remitted to the county providing the pretrial release services. This cost shall be assessed and collected only if the defendant had been accepted and released to the supervision of the agency providing the pretrial release services.
- (6) For support of the General Court of Justice, for the issuance by the clerk of a report to the Division of Motor Vehicles pursuant to G.S. 20-24.2, the sum of fifty dollars (\$50.00), to be remitted to the State Treasurer.

Upon a showing to the court that the defendant failed to appear because of an error or omission of a judicial official, a prosecutor, or a law-enforcement officer, the court shall waive this fee.

- (a1) The costs assessed pursuant to subsection (a) may also be collected by clerks of court for charges in which a party elects to pay the court's costs to satisfy the requirements of G.S. 20-7.2. Costs collected pursuant to this subsection shall be allocated in the same manner as other costs collected pursuant to this section. If a-A party who elects to pay the costs of court to satisfy the requirements of G.S. 20-7.2 and is subsequently adjudged guilty of the same charge by the court, he shall is not be required to pay the costs of court again for that charge, but he is subject to any other orders of the court, including an order to pay a fine.
- (b) On appeal, costs are cumulative, and costs assessed before a magistrate shall be added to costs assessed in the district court, and costs assessed in the district court shall be added to costs assessed in the superior court, except that the fee for the Law-Enforcement Officers' Benefit and Retirement Fund and the Sheriffs' Supplemental Pension Fund and the fee for pretrial release services shall be assessed only once in each case. No superior court costs shall be assessed against a defendant who gives notice of appeal from the district court but withdraws it prior to the expiration of the 10-day period for entering notice of appeal. When a case is reversed on appeal, the defendant shall not be liable for costs, and the State shall be liable for the cost of printing records and briefs in the Appellate Division.
- (c) Witness fees, expenses for blood tests and comparisons incurred by G.S. 8-50.1(a), jail fees and cost of necessary trial transcripts shall be assessed as provided by law in addition to other costs set out in this section. Nothing in this section shall limit the power or discretion of the judge in imposing fines or forfeitures or ordering restitution.
- (d) In any criminal case in which the liability for costs, fines, restitution, or any other lawful charge has been finally determined, the clerk of superior court shall, unless otherwise ordered by the presiding judge, disburse such funds when paid in accordance with the following priorities:
  - (1) Costs due the county;
  - (2) Costs due the city;
  - (3) Fines to the county school fund;
  - (4) Sums in restitution prorated among the persons entitled thereto;
  - (5) Costs due the State;
  - (6) Attorney's fees.

Sums in restitution received by the clerk of superior court shall be disbursed when:

- (1) Complete restitution has been received; or
- (2) When, in the opinion of the clerk, additional payments in restriction will not be collected; or
- (3) Upon the request of the person or persons entitled thereto; and
- (4) In any event, at least once each calendar year.
- (e) Unless otherwise provided by law, the costs assessed pursuant to this section for criminal actions disposed of in the district court are also applicable to infractions

disposed of in the district court. The costs assessed in superior court for criminal actions appealed from district court to superior court are also applicable to infractions appealed to superior court. If an infraction is disposed of in the superior court pursuant to G.S. 7A-271(d), costs applicable to the original charge are applicable to the infraction."

Section 7. G.S. 55-1-22 reads as rewritten:

## "§ 55-1-22. Filing, service, and copying fees.

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(a) The Secretary of State shall collect the following fees when the documents described in this subsection are delivered to him-the Secretary for filing:

| 8  | <b>\</b> /    | subsection are delivered to him-the Secretary for filing: |     |
|----|---------------|---|-----|
| 9  |               | Document  | Fee |
| 10 | (1)           | Articles of incorporation \$100.00                        |     |
| 11 | · /           | <u>\$125.00</u>   |     |
| 12 | (2)           | Application for reserved name 10.00                       |     |
| 13 | (3)           | Notice of transfer of reserved name 10.00                 |     |
| 14 | (4)           | Application for registered name 10.00                     |     |
| 15 | (5)           | Application for renewal of                                |     |
| 16 |               | registered name 10.00                                     |     |
| 17 | (6)           | Corporation's statement of change of                      |     |
| 18 |               | registered agent or registered                            |     |
| 19 |               | office or both 5.00                                       |     |
| 20 | (7)           | Agent's statement of change of                            |     |
| 21 |               | registered office for each affected                       |     |
| 22 |               | corporation 5.00  |     |
| 23 | (8)           | Agent's statement of resignation No fee                   |     |
| 24 | (9)           | Designation of registered agent or                        |     |
| 25 |               | registered office or both 5.00                            |     |
| 26 | (10)          | Amendment of articles of                                  |     |
| 27 |               | incorporation 50.00                                       |     |
| 28 | (11)          | Restated articles of incorporation 10.00                  |     |
| 29 |               | with amendment of articles 50.00                          |     |
| 30 | (12)          | Articles of merger or share exchange 50.00                |     |
| 31 | (13)          | Articles of dissolution 30.00                             |     |
| 32 | (14)          | Articles of revocation of                                 |     |
| 33 |               | dissolution 10.00   |     |
| 34 | (15)          | Certificate of administrative                             |     |
| 35 | (4.5)         | dissolution No fee  |     |
| 36 | (16)          | Application for reinstatement                             |     |
| 37 |               | following administrative dissolution 25.00                |     |
| 38 | (1 <b>-</b> ) | 100.00  |     |
| 39 | (17)          | Certificate of reinstatement No fee                       |     |
| 40 | (18)          | Certificate of judicial dissolution No fee                |     |
| 41 | (19)          | Application for certificate of                            |     |
| 42 |               | authority 200.00  |     |
| 43 |               | <u>250.00</u>   |     |

| 1  | (20) Application for amended certificate  |
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| 2  | of authority 50.00  |
| 3  | (21) Application for certificate of   |
| 4  | withdrawal 10.00  |
| 5  | (22) Certificate of revocation of   |
| 6  | authority to transact business No fee   |
| 7  | (23) Annual report 10.00  |
| 8  | 20.00   |
| 9  | (24) Articles of correction 10.00   |
| 10 | (25) Application for certificate of   |
| 11 | existence or authorization 5.00   |
| 12 | (26) Any other document required or   |
| 13 | permitted to be filed by this Chapter 10.00.  |
| 14 | (b) The Secretary of State shall collect a fee of ten dollars (\$10.00) each time               |
| 15 | process is served on him-the Secretary under this Chapter. The party to a proceeding            |
| 16 | causing service of process is entitled to recover this fee as costs if he the party prevails in |
| 17 | the proceeding.   |
| 18 | (c) The Secretary of State shall collect the following fees for copying, comparing,             |
| 19 | and certifying a copy of any filed document relating to a domestic or foreign corporation:      |
| 20 | (1) One dollar (\$1.00) a page for copying or comparing a copy to the                           |
| 21 | original; and   |
| 22 | (2) Five dollars (\$5.00) for the certificate."   |
| 23 | Section 8. G.S. 55A-1-22 reads as rewritten:  |
| 24 | "§ 55A-1-22. Filing, service, and copying fees.   |
| 25 | (a) The Secretary of State shall collect the following fees when the documents                  |
| 26 | described in this subsection are delivered to the Secretary for filing:                         |
| 27 | Document Fee  |
| 28 | (1) Articles of incorporation \$50.00   |
| 29 | <u>\$60.00</u>  |
| 30 | (2) Application for reserved name \$10.00   |
| 31 | (3) Notice of transfer of reserved name \$10.00   |
| 32 | (4) Application for registered name \$10.00   |
| 33 | (5) Application for renewal of registered   |
| 34 | name \$10.00  |
| 35 | (6) Corporation's statement of change of  |
| 36 | registered agent or registered office or  |
| 37 | both \$ 5.00  |
| 38 | (7) Agent's statement of change of registered   |
| 39 | office for each affected corporation \$ 5.00  |
| 40 | (8) Agent's statement of resignation No fee   |
| 41 | (9) Designation of registered agent or  |
| 42 | registered office or both\$ 5.00  |
| 43 | (10) Amendment of articles of incorporation \$25.00   |

| 1  | (11) Restated articles of incorporation without                                  |
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| 2  | amendment of articles \$10.00  |
| 3  | (12) Restated articles of incorporation with                                     |
| 4  | amendment of articles \$25.00  |
| 5  | (13) Articles of merger \$25.00  |
| 6  | (14) Articles of dissolution \$15.00   |
| 7  | (15) Articles of revocation of dissolution \$10.00                               |
| 8  | (16) Certificate of administrative dissolution No fee                            |
| 9  | (17) Application for reinstatement following                                     |
| 10 | administrative dissolution \$25.00   |
| 11 | <u>\$100.00</u>  |
| 12 | (18) Certificate of reinstatement No fee   |
| 13 | (19) Certificate of judicial dissolution No fee                                  |
| 14 | (20) Application for certificate of authority \$100.00                           |
| 15 | <u>\$125.00</u>  |
| 16 | (21) Application for amended certificate of                                      |
| 17 | authority \$25.00  |
| 18 | (22) Application for certificate of withdrawal \$10.00                           |
| 19 | (23) Certificate of revocation of authority to                                   |
| 20 | conduct affairs No fee   |
| 21 | (24) Corporation's Statement of Change of  |
| 22 | Principal Office \$ 5.00   |
| 23 | (24a) Designation of Principal Office Address \$ 5.00                            |
| 24 | (25) Articles of correction \$10.00  |
| 25 | (26) Application for certificate of existence or                                 |
| 26 | authorization \$ 5.00  |
| 27 | (27) Any other document required or  |
| 28 | permitted to be filed by this Chapter \$10.00."                                  |
| 29 | Section 9. G.S. 57C-1-22 reads as rewritten:                                     |
| 30 | "§ 57C-1-22. Filing, service, and copying fees.                                  |
| 31 | (a) The Secretary of State shall collect the following fees when the document    |
| 32 | described in this subsection are delivered to the Secretary of State for filing: |
| 33 | <u>Document</u> <u>Fee</u>   |
| 34 | (1) Articles of organization \$100.00  |
| 35 | <u>\$125.00</u>  |
| 36 | (2) Application for reserved name 10.00  |
| 37 | (3) Notice of transfer of reserved name 10.00                                    |
| 38 | (4) Application for registered name 10.00  |
| 39 | (5) Application for renewal of registered name 10.00                             |
| 40 | (6) Limited liability company's statement of                                     |
| 41 | change of registered agent or registered   |
| 42 | office or both 5.00  |
| 43 | (7) Agent's statement of change of registered                                    |

| 1  |                  | office for each affected limited  |
|----|------------------|---|
| 2  |                  | liability company 5.00  |
| 3  | (8)              | Agent's statement of resignation No fee   |
| 4  | (9)              | Designation of registered agent or  |
| 5  |                  | registered office or both 5.00  |
| 6  | (10)             | Amendment of articles of organization 50.00   |
| 7  | (11)             | Restated articles of organization   |
| 8  |                  | without amendment of articles 10.00   |
| 9  | (12)             | Restated articles of organization   |
| 10 |                  | with amendment of articles 50.00  |
| 11 | (13)             | Articles of merger 50.00  |
| 12 | (14)             | Articles of dissolution 30.00   |
| 13 | (15)             | Articles of revocation of dissolution 10.00   |
| 14 | (16)             | Certificate of administrative dissolution No fee                                    |
| 15 | <u>(16a)</u>     | Application for reinstatement following   |
| 16 | <u>admir</u>     | <u>nistrative dissolution</u> <u>100.00</u> (17)Certificate of reinstatement No fee |
| 17 | (18)             | Certificate of judicial dissolution No fee  |
| 18 | (19)             | Application for certificate of authority 200.00                                     |
| 19 |                  | <u>250.00</u>   |
| 20 | (20)             | Application for amended certificate   |
| 21 |                  | of authority 50.00  |
| 22 | (21)             | Application for certificate of withdrawal 10.00                                     |
| 23 | (22)             | Certificate of revocation of authority  |
| 24 |                  | to transact business No fee   |
| 25 | (23)             | Articles of correction 10.00  |
| 26 | (24)             | Application for certificate of existence  |
| 27 |                  | or authorization 5.00   |
| 28 | (25)             | Annual report 200.00  |
| 29 | (26)             | Any other document required or permitted  |
| 30 |                  | to be filed by this Chapter 10.00.  |
| 31 | (b) The S        | Secretary of State shall collect a fee of ten dollars (\$10.00) each time           |
| 32 | process is serve | d on the Secretary of State under this Chapter. The party to a proceeding           |
| 33 | causing service  | of process is entitled to recover this fee as costs if he the party prevails in     |
| 34 | the proceeding.  |   |
| 35 | (c) The S        | Secretary of State shall collect the following fees for copying, comparing,         |
| 36 | and certifying a | a copy of any filed document relating to a domestic or foreign limited              |
| 37 | liability compar | y:  |
| 38 | (1)              | One dollar (\$1.00) a page for copying or comparing a copy to the                   |
| 39 |                  | original; and   |
| 40 | (2)              | Five dollars (\$5.00) for the certificate."   |
| 41 | Section          | on 10. G.S. 105-228.90(a) reads as rewritten:                                       |

"(a) Scope. – This Article applies to Subchapters I, V, and VIII of this Chapter Chapter, to the annual report filing requirements of G.S. 55-16-22, and to inspection taxes levied under Article 3 of Chapter 119 of the General Statutes."

Section 11. Article 9 of Chapter 105 of the General Statutes is amended by adding a new section to read:

## "§ 105-256.1. Corporate annual report.

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A charge of twenty dollars (\$20.00) is imposed on a corporation that files its annual report with the Secretary. The charge must be paid when the annual report is filed. Amounts collected under this section shall be credited to the General Fund as tax revenue. The Secretary must transmit an annual report filed with the Secretary in accordance with G.S. 55-16-22 to the Secretary of State."

Section 12. G.S. 25-9-403(5) reads as rewritten:

"(5) The uniform fee for filing and indexing and for stamping a copy furnished by the secured party to show the date and place of filing for an original financing statement or for a continuation statement is eight dollars (\$8.00). fifteen dollars (15.00)."

Section 13. G.S. 25-9-405 reads as rewritten:

## "§ 25-9-405. Assignment of security interest; duties of filing officer; fees.

- (1) A financing statement may disclose an assignment of a security interest in the collateral described in the financing statement by indication in the financing statement of the name and address of the assignee or by an assignment itself or a copy thereof on the face or back of the statement. On presentation to the filing officer of such a financing statement the filing officer shall mark the same as provided in G.S. 25-9-403(4). The uniform fee for filing, indexing, and furnishing filing data for a financing statement so indicating an assignment is eight dollars (\$8.00). fifteen dollars (\$15.00).
- A secured party may assign of record all or part of his rights under a financing statement by the filing in the place where the original financing statement was filed of a separate written statement of assignment signed by the secured party of record and setting forth the name of the secured party of record and the debtor, the file number and also the most current file number if it has been continued and the date of filing of the financing statement and the name and address of the assignee and containing a description of the collateral assigned. A copy of the assignment is sufficient as a separate statement if it complies with the preceding sentence. On presentation to the filing officer of such a separate statement, the filing officer shall mark such separate statement with the date and hour of the filing. He shall note the assignment on the Uniform Commercial Code index of the financing statement, and in the case of a fixture filing, or a filing covering timber to be cut, or covering minerals or the like (including oil and gas) or accounts subject to subsection (5) of G.S. 25-9-103, he shall index in the real estate index the assignment under the name of the assignor as grantor and, to the extent that the law of this State provides for indexing the assignment of a mortgage under the name of the assignee, he shall index the assignment of the financing statement under the name of the assignee. The uniform fee for filing, indexing, and furnishing filing data about such a separate statement of assignment is eight dollars (\$8.00). fifteen dollars (\$15.00). Notwithstanding the provisions of this subsection, an assignment of record of a security interest in a fixture

 contained in a mortgage effective as a fixture filing (subsection (6) of G.S. 25-9-402) may be made only by an assignment of the mortgage in the manner provided by the law of the State other than this Chapter.

After the disclosure or filing of an assignment under this section, the assignee

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is the secured party of record."

Section 14. G.S. 25-9-406 reads as rewritten:

"§ 25-9-406. Release of collateral; duties of filing officer; fees.

A secured party of record may, by his signed statement, release all or a part of any collateral described in a filed financing statement. The statement of release is sufficient if it contains a description of the collateral being released, the name and address of the debtor, the name and address of the secured party, and the file number of the financing statement. A statement of release signed by a person other than the secured party of record must be accompanied by a separate written statement of assignment signed by the secured party of record and complying with subsection (2) of G.S. 25-9-405, including payment of the required fee. Upon presentation of such a statement of release to the filing officer he shall mark the statement with the hour and date of filing and shall note the same upon the margin of the index of the filing of the financing statement. The uniform fee for filing and noting such a statement of release is eight dollars (\$8.00). fifteen dollars (\$15.00)."

Section 15. G.S. 25-9-407 reads as rewritten:

# "§ 25-9-407. Information from filing officer.

- (1) If the person filing any financing statement, termination statement, statement of assignment or statement of release furnishes the filing officer a copy thereof, the filing officer shall upon request note upon the copy the file number and date and hour of the filing of the original and deliver or send the copy to such person.
- (2) Upon request of any person, the filing officer shall issue his certificate for which he shall not be liable showing whether there is on file, on the date and hour stated therein, any presently effective financing statement naming a particular debtor and any statement of assignment thereof and if there is, giving the date and hour of filing of each such statement and the names and addresses of each secured party therein. The uniform fee for such a certificate shall be eight dollars (\$8.00). fifteen dollars (\$15.00). Where the Uniform Commercial Code index has been automated, the filing officer shall issue a computer printout of the index entries for a particular debtor for a fee of eight dollars (\$8.00). fifteen dollars (\$15.00). Upon request the filing officer shall furnish a copy of any filed financing statement or statement of assignment for a uniform fee of one dollar (\$1.00) per page."

Section 16. Sections 1 through 4 and this section of this act are effective when the act becomes law. Sections 5 and 6 of this act become effective July 1, 1997. G.S. 55-1-22(a)(23), as amended by Section 7 of this act, becomes effective January 1, 1998, and applies to tax years ending on or after December 31, 1997. The remaining changes made by Section 7 of this act become effective July 1, 1997. Sections 8 and 9 of this act become effective July 1, 1997. Sections 10 and 11 of this act become effective January

- 1, 1998, and apply to annual reports filed for tax years ending on or after December 31,
- 2 1997. Sections 12 through 15 of this act become effective July 1, 1997.